

Application Number(s)

60/039,472

PTO/SB/01 (12-97)

Additional provisional application

supplemental priority data sheet PTO/SB/02B attached hereto.

numbers are listed on a

Approved for use through 9/30/00. OMB 0651-0032

214001-00648

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Attorney Docket Number

DECLARATION FO		First Named Inventor		Louis D. Falo			
PATENT APP (37 CFR	LICATION		COMPLETE IF K	NOWN			
(S/ CIR	1.03)	Application Number	Application Number 09/030,985				
		Filing Date	Filing Date February 26,				
	mitted after Initial	Group Art Unit	1643				
Filing (37	ng (surcharge CFR 1.16(e)) aired)	Examiner Name	N/A				
As a below named inventor, I hereby declare that:  My residence, post office address, and citizenship are as stated below next to my name.  I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:  INDUCTION OF TUMOR AND VIRAL IMMUNITY USING ANTIGEN PRESENTING CELL CO-CULTURE PRODUCTS AND FUSION PRODUCTS  the specification of which (Title of the Invention)  is attached hereto  OR							
■ was filed on  .	as filed on 2/26/1998 as United States Application Number or PCT International						
Application Number 09/030,98	and was	amended on (MM/DD/YYYY		(if applicable)			
I hereby state that I have reviewed a amended by any amendment specific		the above identified specification,	including the clain	ns, as			
I acknowledge the duty to disclose in	nformation which is material to	o patentability as defined in 37 C	FR 1.56.				
I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.							
Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached? YES NO			
☐ Additional foreign application nu	mbers are listed on a suppleme	ental priority data sheet PTO/SB/0	02B attached hereto	:			
I hereby claim the benefit under 35	U.S.C. 119(e) of any United S	States provisional application(s) lis	sted below.				

[Page 1 of 2]

Filing Date (MM/DD/YYYY)

2/27/1997

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I hereby claim the benefit under subject matter of each of the ch acknowledge the duty to disclose international filing date of this a	aims of this application which	ntion is not disclosed	in the prior United	States or I	PCT Internation	al applica	tion in the	manner provi	ded by the first paragraph of 3	5 U.S.C. 112,
U.S. Parent Application or PCT Parent Number								ing Date /YYYY)		
		Number				(141	W1700	, , , , , , , , , , , , , , , , , , , ,	(if applic	<u> </u>
Additional U.S. or PC	T international app	lication numbers are	listed on a supplemen	ntal priorit	ry data sheet PT	O/SB/02E	3 attached h	nereto.		
As a named inventor, I h	nereby appoint	the following re	gistered practition	oner(s) t	to prosecute	this ap	plication	and to trai		
Trademark Office conne	cted therewith:	☐ Cı	istomer Number					<b>→</b>	Place Custo Number Bar	
		<i>OF</i> ⊠ Reg	R gistered practition	ner(s) n	ame/registra	tion nu	mber list	ed below		
Name		Registra	tion Number		-	Nan	ne		Registration N	umber
SEE ATTACHED	SHEET]									•
Additional registe	ered practitione	r(s) named on si	upplemental Reg	istered	Practitioner	Informa	ation she	et PTO/SB	/02C attached hereto.	
Direct all correspondenc	e to:	Customer Nu or Bar Code			-		OR	Ø	Correspondence addres	ss below
Name	Diane R.	Meyers								1
Address	Eckert Se	amans Cheri	n & Mellott	LLC						
Address	600 Grant Street, 42nd Floor									
	600 Gran	t Street, 42nd			<u>-</u>					
City	600 Gran	<u> </u>		PA	\	· .	Zip	15219		
		<u> </u>	d Floor		X 2/566-203	36	Zip Fax		6-6099	
Country  hereby declare that all statement with the knowledge that willful	Pittsburgl  USA  Ints made herein of false statements an	ny own knowledge as d the like so made ar	State  Telephone  Te true and that all sta	412	2/566-203	tion and b	Fax selief are be	412/56	rue; and further that these states	
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City  Country  hereby declare that all statement with the knowledge that willful ralidity of the application or any  Name of Sole or First  Given 1  Inventor's  Signature  Residence: City  Post Office Address  Post Office Address	Pittsburgh  USA  Ints made herein of false statements and patent issued there  Inventor:  Name (first and Louis)  Pittsburgh	my own knowledge and the like so made areon.  Index middle [if and the content of	State Telephone Te true and that all state punishable by fine  State PA	412	2/566-203  nade on informationment, or both  Country	USA	Fax relief are be 8 U.S.C. 1	412/56 elieved to be to 001 and that	or Surname  Date  8/28/58	y jeopardize the



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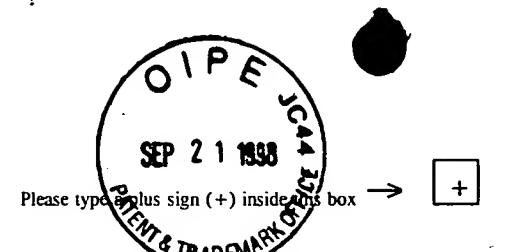
## **DECLARATION**

**REGISTERED PRACTITIONER** INFORMATION (Supplemental Sheet)

Approved for use through 9/30/98. OMB 0651-0032

Name	Registration Number	Name	Registration Number
Walter J. Blenko, Jr. Arnold B. Silverman Richard V. Westerhoff David W. Brownlee Lewis F. Gould, Jr. Daniel C. Abeles Stephan P. Gribok Alan G. Towner David V. Radack Samuel W. Apicelli Kirk D. Houser Diane R. Meyers Daniel S. Goldberg John M. Paolino Michael D. Lazzara Benjamin T. Queen, II Anthony Colesanti	18,526 22,614 24,454 24,464 25,057 25,822 29,643 32,949 33,442 36,427 37,357 38,968 39,689 40,340 41,142 41,260 P42,428		

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**DECLARATION FOR UTILITY OR** 



PTO/SB/01 (12-97)

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214001-00648

Louis D. Falo

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Attorney Docket Number

First Named Inventor

DESIGN	First Named Inventor Louis D. Falo					
PATENT APPLICATION (37 CFR 1.63)		co	OMPLETE IF KI	NOWN		
		Application Num	ber	09/030,985		
		Filing Date	Filing Date		1998	
☐ Declaration ☐ Declaration  Submitted OR Submitted afte  with Initial Filing (surchar		Group Art Unit	Group Art Unit			
	Filing (surcharge (37 CFR 1.16(e)) required)			N/A		
As a below named inventor, I hereby declare	that:					
My residence, post office address, and citizensl	ip are as stated bel	low next to my name.				
I believe I am the original, first and sole invent names are listed below) of the subject matter w	· •	•	_	_		
INDUCTION OF TUMOR AND VIRAL IMM PRODUCTS	UNITY USING A	NTIGEN PRESENTIN	IG CELL CO	-CULTURE PRO	DDUCTS AND FUSION	
the specification of which  is attached hereto  OR  (Title of the Invention)						
■ was filed on	2/26/1998	2	s United State	es Application Nu	umber or PCT International	
Application Number 09/030,985	amended on (MM/DD	nended on (MM/DD/YYYY (if applicable)				
I hereby state that I have reviewed and understanded by any amendment specifically referred		the above identified sp	ecification, in	ncluding the clain	ns, as	
I acknowledge the duty to disclose information	which is material to	o patentability as defin	ed in 37 CFR	1.56.		
I hereby claim foreign priority benefits under 3 certificate, or 365(a) of any PCT international America, listed below and have also identified or of any PCT international application having	application which do below, by checking	esignated at least one of the box, any foreign a	country other application fo	than the United S	States of	
Prior Foreign Application Number(s)	Country	Foreign Filing (MM/DD/YY		Priority Not Claimed	Certified Copy Attached? YES NO	
☐ Additional foreign application numbers are	isted on a suppleme	ental priority data shee	t PTO/SB/02	B attached hereto		
I hereby claim the benefit under 35 U.S.C. 119	(e) of any United S	States provisional appli	cation(s) liste	d below.		
Application Number(s)	Filin	g Date (MM/DD/YY)	YY)			
60/039,472 2/27/1997		7			Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.	

[Page 1 of 2]

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alid OMB control number.	inder the Pape	rwork Reduction	Act of 1995	5, no per		and Trademarl	· c Office; U.S.	_	OF COMMERCE nless it contains a	
DECLARA		Utility	or Des	sign	Patent	Applie	cation			
I hereby claim the benefit under a subject matter of each of the cla acknowledge the duty to disclose international filing date of this ap	ims of this application which	tion is not disclosed	in the prior Unit	ted States (	or PCT International	d application in th	e manner provide	d by the first paragraph	of 35 U.S.C. 112, 1	
U.S.		plication or P Number	CT Parent	t			iling Date D/YYYY)		ent Number olicable)	
Additional U.S. or PC	f international appl	lication numbers are l	isted on a supple	mental pri	ority data sheet PTC	O/SB/02B attached	hereto.			
As a named inventor, I h	ereby appoint	the following re	gistered pract	titioner(s	s) to prosecute	this applicatio	n and to trans	act all business in	the Patent and	
Trademark Office connec	cted therewith:	☐ Cu	stomer Numl	ber			$\rightarrow$	Place Co Number I		
		OR ⊠ Reg		itioner(s)	name/registra	tion number li	sted below			
Name		Registra	tion Numb	er		Name		Registration	n Number	
[SEE ATTACHED	SHEET]									
Additional registe	red practitione	r(s) named on su	pplemental F	Registere	d Practitioner	Information sh	ect PTO/SB/0	02C attached hereto	).	
Direct all correspondence	e to:	Customer Num or Bar Code				OR		Correspondence ac	ldress below	
Name	Diane R.	Meyers								
Address	Eckert Se	amans Cherii	n & Mello	n, LL	С					
Address	600 Gran	t Street, 42nd	l Floor							
City	Pittsburgh	1	State	I	PA	Zip	15219			
Country	Allegheny	/	Telephoi		112/566- 2036	Fax	412/566-6			
I hereby declare that all statemer with the knowledge that willful to validity of the application or any	false statements and	d the like so made an								
Name of Sole or First	Inventor:				A petition has been filed for this unsigned inventor				ventor	
Given 1	Name (first an	d middle [if any	/])			Fa	mily Name o	r Surname		
Inventor's Signature					·			Date		
Residence: City			State		Country		· · · · · · · · · · · · · · · · · · ·	Citizenship		
Post Office Address										
Post Office Address		· · · · · · · · · · · · · · · · · · ·	1		11		<u>.</u>			
City			State		Zip	<del></del>	Country			
Additional inventors are	being named on	the 1 supplement	tal Additional I	Inventor(s)	sheet(s) PTO/SB	/02A attached h	ereto.			



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## **DECLARATION**

Please type a plus sign

ADDITIONAL INVENTOR(S)
Supplemental Sheet
Page 1 of 1

Name of Additional Joint Inventor, if any:					A petition has been filed for this unsigned inventor					
Given Name	(first and middle I	if anyl)		Family Name or Surname						
Christina M.							Cell	uzzi		
Inventor's Signature	Christina M. Celluy				<u> </u>					
Residence: City	Columbia	State	MD	Country USA			Citizensh	ip	USA	
Post Office Address	10800 Bird Song Pass									
Post Office Address								-		
City	Columbia	State	MD		ZIP		21044	Country	l	USA
Name of Additional Join	nt Inventor, if any	<b>y</b> :		A pet	ition has b	een	filed for this ur	nsigned invent	or	
Given Name	(first and middle	(if any))					Family Name	or Surname		
Inventor's Signature	-							Date		
Residence: City		State			Country			Citizenship		
Post Office Address								•		
Post Office Address						•				
City		State		ZIP			Country			
Name of Additional Join	it inventor, if any	y:		A petition has been filed for this unsigned inventor						
Given Name	(first and middle)	(if any))		Family Name or Surname						
Inventor's Signature								Date		
Residence: City		State			Country			Citizenship		
Post Office Address										
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City		State			ZIP			Country		

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## **DECLARATION**

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. Name	Registration Number	Name	Registration Number
Walter J. Blenko, Jr. Arnold B. Silverman Richard V. Westerhoff David W. Brownlee Lewis F. Gould, Jr. Daniel C. Abeles Stephan P. Gribok Alan G. Towner David V. Radack Samuel W. Apicelli Kirk D. Houser Diane R. Meyers Daniel S. Goldberg John M. Paolino Michael D. Lazzara Benjamin T. Queen, II Anthony Colesanti	18,526 22,614 24,454 24,464 25,057 25,822 29,643 32,949 33,442 36,427 37,357 38,968 39,689 40,340 41,142 41,260 P42,428		

Burden Hour Statement: This form is estimated to take 0.4 hours to complete. Time will vary depending upon theneeds of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

	Applicant or Patentee: Touis response
	Applicant or Patentee: Louis Lie Falo, Jr. and Christina M. Celluzzi  Serial or Patent No.: 09/030,985
	Filed or Issued: February 26, 1998 Pocket No: 00648
	THE PROPERTY OF THE PROPERTY O
	TION PROBLEMS
	P RENIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS (37 CFR 1.9(f) and 1.27(d)) - NONPROFIT ORGANIZATION
	I hereby decare that a am an official empowered to act on behalf of the nonprofit organizati
	identified below:
•	
·	NAME OF SECRETARION University of Pittsburgh of the Commonwealth System of Higher Edu
·	ADDRESS OF ORGANIZATION 200: Gardner Steel Conference Center
	Pittsburgh, PA 15260
	TYPE OF ORGANIZATION
	•
•	[X] UNIVERSITY OR OTHER INSTITUTION OF HIGHER EDUCATION
	I J TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 USC 501/5) and 501/5/2015
•	NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OF THE UNITED STATES OF
	AMERICA STATES OF
·	(NAME OF STATE
•	(CITATION OF STATUTE
	[ ] WOULD QUALIFY AS TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 USC 501(a) and 501(c)(3)) IF LOCATED IN THE INTERNAL REVENUE SERVICE CODE (26 USC 501(a) and
•	[ ] WOULD QUALIFY AS NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OF THE UNITED STATES OF AMERICA IF LOCATED IN THE UNITED STATUTE OF STATE OF THE
•	UNITED STATES OF AMERICA IF LOCATED IN THE UNITED STATES OF AMERICA
	(NAME OF STATE
<del></del>	(CITATION OF STATUTE
	)
	I hereby declare that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 CFR 1 9(e) for purposes as
	organization as defined in 37 CFR 1.9(e) for purposes of paying reduced fees under section 41(
	TUMOR IMMUNITY USING ANTIGEN PRESENTING CELL CO-CULTURE PRODUCTS AND FUSION PRODUCTS by inventor(s) Louis D. Falo, Jr. and Christina M. Celluzzi
	described in
	[Y] application Comits to
and the second s	[X] application Serial No. 09/030,985 , filed February 26, 1998
	, issued,
•	I hereby declare that rights under contact to the c
2.	I hereby declare that rights under contract or law have been conveyed to and remain with the
	nonprofit organization with regard to the above-identified invention.
	If the rights held by the congressit exercises and the constant of the congression and
	If the rights held by the nonprofit organization are not exclusive, each individual, concern conganization having rights to the invention is lighted below.
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	THE THE PERSON ALUM COLUMN COLUMN CONTROL OF APPRAISE L
	invention averring to their status as small entities. (37 CFR 1.27)
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•	[ ] INDIVIDUAL [ ] SMALL BUSINESS CONCERN [ ] NONPROFIT ORGANIZATION
	NAME:
,	ADDRESS
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	[ ] INDIVIDUAL [ ] SMALL BUSINESS CONCERN [ ] NONPROFIT ORGANIZATION
<b>;</b>	I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entitle at the status resulting in loss of entitlement to small entitle at the status resulting in loss of entitlement to small entitle at the status resulting in loss of entitlement to small entitle at the status resulting in loss of entitlement to small entitle at the status resulting in loss of entitlement to small entitle at the status resulting in loss of entitlement to small entitlement at the status resulting in loss of entitlement to small entitlement to small entitlement at the status resulting in loss of entitlement to small entitlement at the status resulting in loss of entitlement to small entitlement at the status resulting in loss of entitlement to small entitlement at the small entitlement at the status resulting in loss of entitlement to small entitlement at the status resulting in loss of entitlement at the small entitlement at the status resulting in loss of entitlement at the small entitlement at
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	status as a small entity is no longer appropriate. (37 CFR 1.28(b))
•	I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed by
•	
•	
•	patent issuing thereon, or any patent to which this verified statement is directed.
	NAME OF THE OWNER OWNER OF THE OWNER O
	NAME OF PERSON SIGNING Frances J. Connell
	TITLE IN ORGANIZATION Director, Office of Intellectual Property
·	ADDRESS OF PERSON SIGNING 200 Gardner Steel Conference Center, Pittsburgh, PA 15360
	DATE 8/26/98

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